



## CLIENT INFORMATION SHEET

NAME

▶ ARE YOU AN "INSIDER" FOR ANY PUBLICLY TRADED COMPANY?

NO  YES

If Yes,

COMPANY	TYPE OF "INSIDER"

▶ CURRENT TAX BRACKET

10%  15%  25%  28%  33%  35%

Other \_\_\_\_\_

▶ FINANCIAL INFORMATION (Joint A/cs on a combined basis)

**Annual Income:**  \$0-\$24,999  \$25,000-\$49,999  \$50,000-\$99,999  \$100,000-249,999  \$250,000+

**Approximate Net Worth:**  \$0-\$14,999  \$15,000-\$49,999  \$50,000-\$99,999  \$100,000-\$249,999  \$250,000-499,999  
(Not incl residence)  \$500,000-999,999  \$1,000,000-1,999,999  \$2,000,000+

**Approximate Liquid Net Worth:**  \$0-\$14,999  \$15,000-\$49,999  \$50,000-\$99,999  \$100,000-\$249,999  \$250,000-499,999  
(Cash, Stocks, etc.)  \$500,000-999,999  \$1,000,000-1,999,999  \$2,000,000+

▶ INVESTMENT HORIZON

SHORT-TERM  
(Typically < 3 yrs)  MEDIUM-TERM  
(Typically 3 to 5 yrs)  LONG-TERM  
(Typically > 5 yrs)  OTHER

▶ OTHER INFORMATION

No. of dependents \_\_\_\_\_

▶ ANY RESTRICTIONS, DOs & DON'Ts WHILE MANAGING YOUR INVESTMENTS?

▶ ANY OTHER INFORMATION THAT YOU THINK WE SHOULD BE AWARE OF?

(Such as plans to retire, an expected inheritance, an expected withdrawal or contribution of funds, any serious illnesses, etc.)

_____	_____
SIGNATURE	DATE